

**Form PTO-1449 Modified**

List of Patent and Publications  
Cited by Applicant  
(Use several sheets if necessary)

U.S. Department of Commerce  
Patent and Trademark Office

Docket No.  
**MSFT-0103**

Serial No.  
**09/482,843**

Applicant  
**Marcus Peinado et al.**

Filing Date  
**January 13, 2000**

Group **3625**  
~~Not Yet Assigned~~

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

can	AA	"Aladdin Knowledge Systems Partners with Rights Exchange, Inc. to Develop a Comprehensive Solution for Electronic Software Distribution," August 3, 1998, 5 pages
↑	AB	Amdur, D., "Metering Online Copyright," January 16, 1996, 2 pages
	AC	Amdur, D. (ed.), "InterTrust Challenges IBM Digital Content Metering," <i>Report On Electronic Commerce</i> , July 23, 1996, 3(15), 1-2 and 16-18
	AD	Armati, D., "Tools and standards for protection, control and presentation of data," April 3, 1996, 17 pages
	AE	Benjamin, R. et al., "Electronic markets and virtual value chains on the information superhighway," <i>Sloan Management Rev.</i> , Winter, 1995, 62-72
	AF	Cassidy, "A Web developers guide to content encapsulation technology," April, 1997, 5 pages
	AG	Clark, T., "Software Secures Digital Content on Web," <i>Interactive Week</i> , September 25, 1995, 1 page
	AH	Cox, B., "Superdistribution," <i>Idees Fortes</i> , September, 1994, 2 pages
	AI	Cox, B., "What if There Is A Silver Bullet," <i>J. Object Oriented Programm.</i> , June, 1992, 8-9 and 76
	AJ	Griswold, G.N., "A Method for Protecting Copyright on Networks," <i>IMA Intell. Property Project Proceedings</i> , January, 1994, 1(1), 169-178
	AK	Hauser, R.C., "Does licensing require new access control techniques?" August, 1993, 9 pages
	AL	Hudgins-Bonafield, C. "Selling Knowledge on the Net," <i>Network Computing</i> , June 1, 1995, 102-109
can	AM	"IBM spearheading intellectual property protection technology for information on the Internet," May, 1996, 3 pages
EXAMINER		CUONG NGUYEN PRIMARY EXAMINER
DATE CONSIDERED		1/28/03

**Form PTO-1449 Modified**

List of Patent and Publications  
Cited by Applicant  
(Use several sheets if necessary)

U.S. Department of Commerce  
Patent and Trademark Office

Docket No.  
**MSFT-0103**

Serial No.  
**09/482,843**

Applicant  
**Marcus Peinado et al.**

Filing Date  
**January 13, 2000**

Group **3625**  
~~Not Yet Assigned~~

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

clon	AN	"Technological Solutions Rise to Complement Law's Small Stick-Guarding Electronic Works," <i>Information Law Alert</i> , June 16, 1995, 3-4 and 7
	AO	Kaplan, M.A., "IBM Cryptolopes, Super-Distribution and Digital Rights Management," December, 1996, 7 pages
	AP	Kent, S.T., "Protecting externally supplied software in small computers," September, 1980, 1-42 and 250-252
	AQ	Kohl, U. et al., "Safeguarding Digital Library Contents and Users; Protecting Documents Rather Than Channels," <i>D-Lib Magazine</i> , September, 1997, 9 pages
	AR	Linn, R.J., "Copyright and Information Services in the Context of the National Research and Education Network," <i>IMA Intell. Property Project Proceedings</i> , January, 1994, 1(1), 1 and 10-20
	AS	McNab, L., "Super-distribution works better in practical applications," March 2, 1998, 2 pages
	AT	Moeller, M., "NetTrust lets Cyberspace Merchants Take Account," <i>PC Week</i> , November 20, 1995, 12(48), 1 page
	AU	Moeller, M., "IBM takes charge of E-commerce; Plans client, server apps based on SET," April, 1996, 4 pages
	AV	Pemberton, J., "An ONLINE Interview with Jeff Crigler at IBM InfoMarket," July, 1996, 6 pages
	AW	"LicensIt: kinder, gentler copyright? Copyright management system links content, authorship information," <i>Seybold Report on Desktop Publishing</i> , 1996, 10(11), 2 pages
	AX	Sibert, O. et al., "The DigiBox: A Self-protecting Container for Information Commerce," <i>First USENIX Workshop on Electronic Commerce</i> , July 11-12, 1995, 171-183
clon	AY	Sibert, O. et al., "Securing the Content, Not the Wire, for Information Commerce," July, 1995, 1-11

**EXAMINER**

**CUONG NGUYEN**  
**PRIMARY EXAMINER**

**DATE CONSIDERED****1/28/03**

**Form PTO-1449 Modified**

List of Patent and Publications  
Cited by Applicant  
(Use several sheets if necessary)

U.S. Department of Commerce  
Patent and Trademark Office

Docket No.  
**MSFT-0103**

Serial No.  
**09/482,843**

Applicant  
**Marcus Peinado et al.**

Filing Date  
**January 13, 2000**

Group **3625**  
~~Not Yet Assigned~~

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

<b>CAN</b>	<b>AZ</b>	Smith, M.G. et al., "A New Set of Rules For Information Commerce," <i>Electronic Commerce</i> , November 6, <b>1995</b> , 34-35
↑	<b>BA</b>	Stefik, M., "Trusted Systems," March, <b>1997</b> , 8 pages
	<b>BB</b>	Stefik, M., "Shifting the Possible: How Trusted Systems and Digital Property Rights Challenge Us to Rethink Digital Publishing," <i>Technical Perspective</i> , <b>1997</b> , 137-159
	<b>BC</b>	Tarter, J. (ed.), "The Superdistribution Model," <i>Soft Trends</i> , November 15, <b>1996</b> , 3(6), 1-6
	<b>BD</b>	"Rights Management in the Digital Age: Trading in Bits, Not Atoms," Spring, <b>1997</b> , 4, 3 pages
	<b>BE</b>	Weber, R., "Digital Rights Management Technology," October, <b>1995</b> , 35 pages
	<b>BF</b>	White, S.R. et al., "ABYSS: An Architecture for Software Protection," <i>IEEE Trans. On Software Engineering</i> , June, <b>1990</b> , 16(6), 619-629
	<b>BG</b>	White, S.R. et al., "ABYSS: A trusted architecture for software protection," <i>IEEE Symposium on Security and Privacy</i> , April 27-29, <b>1987</b> , 38-51
	<b>BH</b>	"Boxing Up Bytes," 2 pages
↓ <b>CAN</b>	<b>BI</b>	Ramanujapuram, A. et al., "Digital Content & Intellectual Property Rights: A specification language and tools for rights management," December, <b>1998</b> , 20-23 and 26

**EXAMINER**

**CUONG NGUYEN**  
**PRIMARY EXAMINER**

**DATE CONSIDERED****1/28/03**

## Form PTO-1449 Modified

Docket No.  
MSFT-0103Serial No.  
09/482,843List of Patent and Publications  
Cited by Applicant  
(Use several sheets if necessary)Applicant  
Marcus Peinado et al.U.S. Department of Commerce  
Patent and Trademark OfficeFiling Date  
January 13, 2000Group 3625  
~~Not Yet Assigned~~

## U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
CAN	BJ	3,718,906	02/27/73	Lightner	340	147 R
↑	BK	4,323,921	04/06/82	Guillou	358	114
	BL	4,528,643	07/09/85	Freeny, Jr.	364	900
	BM	4,658,093	04/14/87	Hellman	380	25
	BN	4,683,553	07/28/87	Mollier	380	4
	BO	4,827,508	05/02/89	Shear	380	4
	BP	4,916,738	04/10/90	Chandra et al.	380	25
	BQ	4,953,209	08/28/90	Ryder, Sr. et al.	380	23
	BR	4,977,594	12/11/90	Shear	380	4
↓ CAN	BS	5,050,213	09/17/91	Shear	380	25

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation YES NO
CAN	BT	EP 0 715 246 A1	06/05/96	EPO	X
↑	BU	EP 0 715 247 A1	06/05/96	EPO	X
↓	BV	WO 93/01550	01/21/93	PCT	X
CAN	BW	WO 96/13013	05/02/96	PCT	X

EXAMINER

CUONG NGUYEN  
PRIMARY EXAMINER

DATE CONSIDERED

1/28/02

**Form PTO-1449 Modified**

List of Patent and Publications  
Cited by Applicant  
(Use several sheets if necessary)

U.S. Department of Commerce  
Patent and Trademark Office

Docket No.  
**MSFT-0103**

Serial No.  
**09/482,843**

Applicant  
**Marcus Peinado et al.**

Filing Date  
**January 13, 2000**

Group **3625**  
~~Not Yet Assigned~~

**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
CHAN	BX	5,103,392	04/07/92	Mori	395	725
	BY	5,103,476	04/07/92	Waite et al.	380	4
	BZ	5,109,413	04/28/92	Comerford et al.	380	4
	CA	5,117,457	05/26/92	Comerford et al.	380	3
	CB	5,193,573	03/16/93	Chronister	137	315
	CC	5,222,134	06/22/93	Waite et al.	380	4
	CD	5,319,705	06/07/94	Halter et al.	380	4
	CE	5,410,598	04/25/95	Shear	380	4
	CF	5,509,070	04/16/96	Schull	380	4
CHAN	CG	5,629,980	05/13/97	Stefik et al.	380	4

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation YES	NO
CHAN	CH	WO 96/24092	08/08/96	PCT	X	
	CI	WO 96/27155	09/06/96	PCT	X	
	CJ	WO 97/25798	07/17/97	PCT	X	
	CK	WO 97/43761	11/20/97	PCT	X	
CHAN	CL	WO 98/09209	03/05/98	PCT	X	

**EXAMINER**

**CUONG NGUYEN**  
**PRIMARY EXAMINER**

**DATE CONSIDERED**

1/28/03

**Form PTO-1449 Modified**

List of Patent and Publications  
Cited by Applicant  
(Use several sheets if necessary)

U.S. Department of Commerce  
Patent and Trademark Office

Socket No.  
**MSFT-0103**

Serial No.  
**09/482,843**

Applicant  
**Marcus Peinado et al.**

Filing Date  
**January 13, 2000**

Group **3625**  
Not Yet Assigned

**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
CHAN	CM	5,634,012	05/27/97	Stefik et al.	<del>395</del>	<del>239</del>
	CN	5,638,443	06/10/97	Stefik et al.	380	4
	CO	5,673,316	09/30/97	Auerbach et al.	380	4
	CP	5,710,887	01/20/98	Chelliah et al.	<del>395</del>	<del>226</del>
	CQ	5,715,403	02/03/98	Stefik	<del>395</del>	<del>244</del>
	CR	5,765,152	06/09/98	Erickson	707	9
	CS	5,809,144	09/15/98	Sirbu et al.	380	25
CHAN	CT	5,845,281	12/01/98	Benson et al.	707	9

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation YES NO
CHAN	CU	WO 98/10381	03/12/98	PCT	X
	CV	WO 98/21679	05/22/98	PCT	X
	CW	WO 98/24037	06/04/98	PCT	X
CHAN	CX	WO 98/37481	08/27/98	PCT	X

**EXAMINER**

**CUONG NGUYEN**  
**PRIMARY EXAMINER**

**DATE CONSIDERED**

1/28/03